



# Minimum degrees and codegrees of minimal Ramsey 3-uniform hypergraphs

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## Abstract

A uniform hypergraph  $H$  is called  $k$ -Ramsey for a hypergraph  $F$ , if no matter how one colors the edges of  $H$  with  $k$  colors, there is always a monochromatic copy of  $F$ . We say that  $H$  is minimal  $k$ -Ramsey for  $F$ , if  $H$  is  $k$ -Ramsey for  $F$  but every proper subhypergraph of  $H$  is not. Burr, Erdős and Lovász [S. A. Burr, P. Erdős, and L. Lovász, *On graphs of Ramsey type*, *Ars Combinatoria* 1 (1976), no. 1, 167–190] studied various parameters of minimal Ramsey graphs. In this paper we initiate the study of minimum degrees and codegrees of minimal Ramsey 3-uniform hypergraphs. We show that the smallest minimum vertex degree over all minimal  $k$ -Ramsey 3-uniform hypergraphs for  $K_t^{(3)}$  is exponential in some polynomial in  $k$  and  $t$ . We also study the smallest possible minimum codegrees over minimal 2-Ramsey 3-uniform hypergraphs.

*Keywords:* minimal Ramsey hypergraph, minimum degree and codegree

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# 1 Introduction and New Results

A graph  $G$  is said to be Ramsey for a graph  $F$  if no matter how one colors the edges of  $G$  with two colors, say red and blue, there is a monochromatic copy of  $F$ . A classical result of Ramsey [11] states that for every  $F$  there is an integer  $n$  such that  $K_n$  is Ramsey for  $F$ . If  $G$  is Ramsey for  $F$  but every proper subgraph of  $G$  is not Ramsey for  $F$ , then we say that  $G$  is minimal Ramsey for  $F$ . We denote by  $\mathcal{M}_k(F)$  the set of minimal graphs  $G$  with the property that no matter how one colors the edges of  $G$  with  $k$  colors, there is a monochromatic copy of  $F$  in it, and refer to these as minimal  $k$ -Ramsey graphs for  $F$ . There are many challenging open questions concerning the study of various parameters of minimal  $k$ -Ramsey graphs for various  $F$ . The most studied ones are the classical (vertex) Ramsey numbers  $r_k(F) := \min_{G \in \mathcal{M}_k(F)} v(G)$  and the size Ramsey number  $\hat{r}_k(F) := \min_{G \in \mathcal{M}_k(F)} e(G)$ , where  $v(G)$  is the number of vertices in  $G$  and  $e(G)$  is its number of edges. To determine the classical Ramsey number  $r_2(K_t)$  is a notoriously difficult problem and essentially the best known bounds are  $2^{(1+o(1))t/2}$  and  $2^{(2+o(1))t}$  due to Spencer [13] and Conlon [4].

Burr, Erdős and Lovász [1] were the first to study other possible parameters of the class  $\mathcal{M}_2(K_t)$ . In particular they determined the minimum degree  $s_2(K_t) := \min_{G \in \mathcal{M}_2(K_t)} \delta(G) = (t-1)^2$  which looks surprising given the exponential bound on the minimum degree of  $K_n$  with  $n = r_2(K_t)$  (it is not difficult to see that  $K_n \in \mathcal{M}_2(K_t)$ ). Extending their results, Fox, Grinshpun, Liebenau, Person and Szabó [7] studied the minimum degree  $s_k(K_t) := \min_{G \in \mathcal{M}_k(K_t)} \delta(G)$  for more colors showing a general bound on  $s_k(K_t) \leq 8(t-1)^6 k^3$  and proving quasiquadratic bounds in  $k$  on  $s_k(K_t)$  for fixed  $t$ . Further results concerning minimal Ramsey graphs were studied in [2,8,9,12,14].

Here we initiate the study of minimal Ramsey 3-uniform hypergraphs and provide first bounds on various notions of minimum degrees for minimal Ramsey hypergraphs. Generally, an  $r$ -uniform hypergraph  $H$  is a tuple  $(V, E)$  with vertex set  $V$  and  $E \subseteq \binom{V}{r}$  being its edge set. Ramsey's theorem holds for  $r$ -uniform hypergraphs as well, as shown originally by Ramsey himself [11], and we write  $G \rightarrow (F)_k$  if  $G$  is  $k$ -Ramsey for  $F$ , i.e. if no matter how one colors the edges of the  $r$ -uniform hypergraph  $G$ , there is a monochromatic copy of  $F$ . We denote by  $K_t^{(r)}$  the complete  $r$ -uniform hypergraph with  $t$  vertices, i.e.

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$K_t^{(r)} = ([t], \binom{[t]}{r})$ , and by the hypergraph Ramsey number  $r_k(F)$  the smallest  $n$  such that  $K_n^{(r)} \rightarrow (F)_k$ . While in the graph case the known bounds on  $r_2(K_t)$  are only polynomially far apart, already in the case of 3-uniform hypergraphs the bounds on  $r_2(K_t^{(3)})$  differ in one exponent:  $2^{c_1 t^2} \leq r_2(K_t^{(3)}) \leq 2^{2^{c_2 t}}$  for some absolute positive constants  $c_1$  and  $c_2$ , and a similar situation occurs for higher uniformities. For further information on Ramsey numbers we refer the reader to the standard book on Ramsey theory [10] and for newer results to the survey of Conlon, Fox and Sudakov [5].

Given  $\ell \in [r-1]$ , we define the degree  $\deg(S)$  of an  $\ell$ -set  $S$  in an  $r$ -uniform hypergraph  $H = (V, E)$  as the number of edges that contain  $S$  and we define the minimum  $\ell$ -degree  $\delta_\ell(H) := \min_{S \in \binom{V}{\ell}} \deg(S)$ . For two vertices  $u$  and  $v$  we simply write  $\deg(u, v)$  for the *codegree*  $\deg(\{u, v\})$ . Similar to the graph case we extend verbatim the notion of minimal Ramsey graphs to minimal Ramsey  $r$ -uniform hypergraphs in a natural way. That is,  $\mathcal{M}_k(F)$  is the set of all minimal  $k$ -Ramsey  $r$ -uniform hypergraphs  $H$ , i.e. consisting of those with  $H \rightarrow (F)_k$  but  $H' \not\rightarrow (F)_k$  for all  $H' \subsetneq H$ . We define

$$s_{k,\ell}(K_t^{(r)}) := \min_{H \in \mathcal{M}_k(K_t^{(r)})} \delta_\ell(H), \quad (1)$$

which extends the introduced graph parameter  $s_k(K_t)$ . It will be shown actually that  $s_{2,2}(K_t^{(3)})$  is zero and thus it makes sense to ask for the second smallest value of the codegrees. This motivates the following parameter  $s'_{k,\ell}(K_t^{(r)})$ :

$$s'_{k,\ell}(K_t^{(r)}) := \min_{H \in \mathcal{M}_k(K_t^{(r)})} \left( \min \left\{ \deg_H(S) : S \in \binom{V(H)}{\ell}, \deg_H(S) > 0 \right\} \right).$$

We prove the following results on the minimum degree and codegree of minimal Ramsey 3-uniform hypergraphs for cliques  $K_t^{(3)}$ .

**Theorem 1.1** *The following holds for all  $t \geq 4$  and  $k \geq 2$*

$$\hat{r}_k(K_{t-1}) \leq s_{k,1}(K_t^{(3)}) \leq k^{20kt^4}. \quad (2)$$

The lower bound  $\hat{r}_k(K_{t-1})$  is the *size-Ramsey number* for  $K_{t-1}$  and it was shown by Erdős, Faudree, Rousseau and Schelp [6] that  $\hat{r}_k(K_\ell) = \binom{r_k(K_\ell)}{2}$ . Using the lower bound on  $r_k(K_\ell) \geq 2^{\frac{1-o(1)}{4}k\ell}$  (see e.g. [5]) we obtain  $s_{k,1}(K_t^{(3)}) \geq 2^{\frac{1}{2}kt(1-o(1))}$ .

**Theorem 1.2** *Let  $t \geq 4$  be an integer. Then,*

$$s_{2,2}(K_t^{(3)}) = 0 \text{ and } s'_{2,2}(K_t^{(3)}) = (t - 2)^2.$$

Notice that with  $s'_{2,2}$  we ask for the smallest *positive* codegree, while for  $s_{2,2}$  we also allow the codegree to be zero. This in particular means that in *any* minimal 2-Ramsey hypergraph  $H$  for  $K_t^{(3)}$  we have that a pair of vertices  $u$  and  $v$  are either not contained in a common edge or have codegree at least  $(t - 2)^2$ . This might look surprising at the first sight since taking  $K_n^{(3)}$  with  $n = r_2(K_t^{(3)})$  and then deleting all edges that contain two distinguished vertices gives a non-Ramsey hypergraph.

## 2 Main tools

### 2.1 BEL-gadgets

We refer in the following to a coloring without a monochromatic copy of  $F$  as an  $F$ -free coloring. Our first tool is a result that asserts existence of non- $k$ -Ramsey hypergraphs  $\mathcal{H}$  for  $K_t^{(3)}$  that impose certain structure on *all*  $K_t^{(3)}$ -free colorings of  $E(\mathcal{H})$ .

**Theorem 2.1** *Let  $k \geq 2$  and  $t \geq 4$  be integers. Let  $H$  be a 3-uniform hypergraph with  $H \not\rightarrow (K_t^{(3)})_k$  and let  $c: E(H) \rightarrow [k]$  be a  $k$ -coloring which avoids monochromatic copies of  $K_t^{(3)}$ . Then, there exists a 3-uniform hypergraph  $\mathcal{H}$  with the following properties:*

- (i)  $\mathcal{H} \not\rightarrow (K_t^{(3)})_k$ ,
- (ii)  $\mathcal{H}$  contains  $H$  as an induced subhypergraph, i.e.  $\mathcal{H}[V(H)] = H$ ,
- (iii) for every coloring  $\varphi: E(\mathcal{H}) \rightarrow [k]$  without a monochromatic copy of  $K_t^{(3)}$ , the coloring of  $H$  under  $\varphi$  agrees with the coloring  $c$ , up to a permutation of the  $k$  colors,
- (iv) if there are two vertices  $a, b \in V(H)$  with  $\deg_H(a, b) = 0$  then  $\deg_{\mathcal{H}}(a, b) = 0$  as well,
- (v) if  $|V(H)| \geq 4$  then for every vertex  $x \in V(\mathcal{H}) \setminus V(H)$  there exists a vertex  $y \in V(H)$  such that  $\deg_{\mathcal{H}}(x, y) = 0$ .

This theorem is crucial for our constructions of minimal  $k$ -Ramsey hypergraphs and thus for giving upper bounds on  $s_{k,1}(K_t^{(3)})$ ,  $s_{k,2}(K_t^{(3)})$  and  $s'_{k,2}(K_t^{(3)})$ , respectively. For its proof we first show the existence of a 3-uniform

hypergraph  $\mathcal{H}$  and two edges  $f, e \in E(\mathcal{H})$  with  $|f \cap e| = 2$  and  $e(\mathcal{H}[e \cup f]) = 2$  so that  $\mathcal{H}$  is not  $k$ -Ramsey for  $K_t^{(3)}$  with the property that any  $F$ -free  $k$ -coloring of  $E(\mathcal{H})$  colors the edges  $e$  and  $f$  differently. Putting several copies of these hypergraphs together in an appropriate way we receive a hypergraph promised by Theorem 2.1 (and we refer to such  $\mathcal{H}$  as BEL-gadgets). In the graph case similar gadgets (called *positive/negative signal senders*) were given first by Burr, Erdős and Lovász [1] in the case of two colors, and later generalized by Burr, Nešetřil and Rödl [2] and by Rödl and Siggers [12].

## 2.2 Random hypergraphs

The random hypergraph  $H^{(3)}(n, p)$  is the probability space of all labeled 3-uniform hypergraphs on the vertex set  $[n]$  with each edge appearing with probability  $p$  independently of all other edges. The following lemma is crucial for the upper bound (2) in Theorem 1.1.

**Lemma 2.2** *Let  $t \geq 4$  and  $k \geq 2$  be integers. There is a 3-uniform hypergraph  $H$  on  $n = k^{10kt^4}$  vertices, which can be written as an edge-disjoint union of  $k$  3-uniform hypergraphs  $H_1, \dots, H_k$  with the following properties:*

- (i) *for every  $i \in [k]$ ,  $H_i$  contains no copies of  $K_t^{(3)}$ , and*
- (ii) *for any coloring  $c$  of the edges of the complete graph  $K_n$  with  $k$  colors there exists a color  $x \in [k]$  and  $k$  sets  $S_1, \dots, S_k$  that induce copies of  $K_{t-1}$  in color  $x$  under the coloring  $c$  such that  $H_1[S_1] \cong \dots \cong H_k[S_k] \cong K_{t-1}^{(3)}$ .*

The rough idea of the proof of Lemma 2.2 is to take  $k$  random hypergraphs  $H'_1, \dots, H'_k \sim H^{(3)}(n, p)$ , with  $p$  being chosen appropriately. And then to show that, with positive probability, even after deleting those edges which appear in at least two hypergraphs  $H'_i$  or in a copy of  $K_t^{(3)}$  inside some  $H'_i$ , we are left with  $k$  edge-disjoint hypergraphs  $H_1, \dots, H_k$  that satisfy the conditions above.

For the details we refer the reader to the full version of our paper [3].

## 3 Proof of Theorem 1.1

### Lower bound

Take a minimal  $k$ -Ramsey hypergraph  $\mathcal{H}$  for  $K_t^{(3)}$  together with a vertex  $v \in V(\mathcal{H})$  such that  $\deg(v) = \delta(\mathcal{H}) = s_{k,1}(K_t^{(3)})$ . We know that there exists a  $K_t^{(3)}$ -free  $k$ -coloring of  $\mathcal{H} \setminus \{v\}$  which cannot be extended to a  $K_t^{(3)}$ -free  $k$ -coloring of  $\mathcal{H}$ . But this implies that  $\text{link}_{\mathcal{H}}(v) \rightarrow (K_{t-1})_k$  holds, where

$\text{link}_{\mathcal{H}}(v)$  is the link of  $v$ , i.e., the graph consisting of all edges  $e$  such that  $e \cup \{v\} \in E(\mathcal{H})$ . Therefore:  $s_{k,1}(K_t^{(3)}) = \deg(v) \geq \hat{r}_k(K_{t-1})$ .

## Upper bound

The proof of our upper bound on  $s_{k,1}(K_t^{(3)})$  makes use of the BEL-gadgets. We fix a 3-uniform hypergraph  $H$  as asserted by Lemma 2.2 and a  $K_t^{(3)}$ -free  $k$ -coloring  $c$  of  $E(H)$  which colors each of the subhypergraphs  $H_i$  monochromatically with color  $i \in [k]$ . Applying Theorem 2.1 for this choice of  $H$  and  $c$ , we obtain a new hypergraph  $\mathcal{H}'$ , that contains  $H$  as an induced subhypergraph, and we extend it further to a hypergraph  $\mathcal{H}$  by adding one new vertex  $v$  with the edges  $\{v, a, b\}$  for all  $\{a, b\} \in \binom{V(H)}{2}$ , i.e. the link of  $v$  is  $\text{link}_{\mathcal{H}}(v) := \binom{V(H)}{2}$ . So,  $\deg_{\mathcal{H}}(v) = \binom{n}{2} < k^{20kt^4}$  holds. Owing to the assertions on  $\mathcal{H}'$  we have  $\mathcal{H}' \not\rightarrow (K_t^{(3)})_k$ . On the other hand one can show  $\mathcal{H} \rightarrow (K_t^{(3)})_k$ , which follows from Property (ii) of Lemma 2.2. Thus, we conclude that there needs to exist a minimal  $k$ -Ramsey hypergraph  $\mathcal{H}''$  of  $K_t^{(3)}$  with  $\mathcal{H}' \subseteq \mathcal{H}'' \subseteq \mathcal{H}$  and  $0 < \deg_{\mathcal{H}''}(v) < k^{20kt^4}$ .  $\square$

## 4 Proof of Theorem 1.2

### The size of $s'_{2,2}$

For the proof of  $s'_{2,2}(K_t^{(3)}) \geq (t-2)^2$  we take a minimal 2-Ramsey hypergraph  $H$  for  $K_t^{(3)}$  together with two vertices  $u$  and  $v \in V(H)$  such that  $\deg_H(u, v) > 0$ . We aim to show that  $\deg_H(u, v) \geq (t-2)^2$ , and thus, for contradiction, we assume the opposite. We then delete all edges containing both  $u$  and  $v$  in order to obtain a hypergraph  $H'$ , which satisfies  $H' \not\rightarrow (K_t^{(3)})_2$ . That is, we find a red-blue coloring  $c$  of  $E(H')$  which does not create a monochromatic copy of  $K_t^{(3)}$ . Now, let  $N(u, v) := \{w \in V(H) : \{u, v, w\} \in E(H)\}$ ,  $\deg_H(u, v) = |N(u, v)|$ , and fix a longest sequence  $B_1, \dots, B_k$  of vertex disjoint sets of size  $t-2$  in  $N(u, v)$ , such that both  $B_i \cup \{u\}$  and  $B_i \cup \{v\}$  span only blue edges under the coloring  $c$  in  $H'$ . By assumption on the codegree  $\deg_H(u, v)$ , we know that  $k < t-2$ . We then extend the coloring  $c$  to a coloring of  $E(H)$  as follows. For each edge  $e = \{u, v, w\} \in E(H)$  with  $w \in \bigcup B_i$  we set  $c(e) = \text{red}$ , while for all other edges  $e = \{u, v, w\} \in E(H)$  we set  $c(e) = \text{blue}$ . It then follows that under this coloring there is no monochromatic copy of  $K_t^{(3)}$  in  $H$ , contradicting  $H \rightarrow (K_t^{(3)})_2$ .

For the proof of  $s'_{2,2}(K_t^{(3)}) \leq (t-2)^2$  we first provide a hypergraph  $H$  as follows. We choose  $V(H) := [(t-2)^2] \cup \{a, b\}$  together with a partition

of  $[(t-2)^2]$  into  $(t-2)$  equal-sized sets  $V_1, \dots, V_{t-2}$ . Moreover, we define  $E(H)$  by taking all edges of the clique  $K_{(t-2)^2+2}^{(3)}$  on the vertex set  $\bigcup V_i \cup \{a, b\}$  and then deleting all edges that contain both  $a$  and  $b$  plus deleting all edges that cross exactly two different  $V_i$ s and contain neither  $a$  nor  $b$ . For this particular hypergraph, we then define a red-blue-coloring  $c$  as follows: the edges contained in  $V_i \cup \{a\}$  and in  $V_i \cup \{b\}$  for  $i \in [t-2]$  are colored *blue*, while the other edges of  $H$  are colored *red*. By construction of  $H$  this coloring does not produce a monochromatic copy of  $K_t^{(3)}$ .

Now, applying Theorem 2.1 to  $H$  and  $c$ , we obtain a 3-uniform hypergraph  $\mathcal{H}$  which contains  $H$  as an induced subhypergraph such that  $\mathcal{H} \not\rightarrow K_t^{(3)}$ ,  $\deg_{\mathcal{H}}(a, b) = 0$  and such that any  $K_t^{(3)}$ -free red-blue coloring  $\phi$  of  $E(\mathcal{H})$  agrees on  $E(H)$  with the coloring  $c$  up to permutation of the two colors. Extending this construction by adding to  $\mathcal{H}$  all  $(t-2)^2$  edges  $\{a, b, u\}$  where  $u \in [(t-2)^2]$ , we finally end up in a hypergraph  $\mathcal{H}'$  for which it is not difficult to see that  $\mathcal{H}' \rightarrow (K_t^{(3)})_2$ . Thus, as  $\mathcal{H} \not\rightarrow (K_t^{(3)})_2$ , there needs to exist a minimal 2-Ramsey hypergraph  $\mathcal{H}''$  of  $K_t^{(3)}$  with  $\mathcal{H} \subseteq \mathcal{H}'' \subseteq \mathcal{H}'$  and such that  $0 < \deg_{\mathcal{H}''}(a, b) \leq (t-2)^2$ , i.e.,  $s'_{2,2}(K_t^{(3)}) \leq (t-2)^2$ .

**Showing**  $s_{2,2}(K_t^{(3)}) = 0$ .

Let us consider the previous construction of  $\mathcal{H}'$  again. As  $s'_{2,2}(K_t^{(3)}) = (t-2)^2$  was proven, we know that *any* minimal 2-Ramsey subhypergraph of  $\mathcal{H}'$  for  $K_t^{(3)}$  has to contain *all*  $(t-2)^2$  edges that contain  $a$  and  $b$ , and in particular, any such minimal hypergraph  $\mathcal{H}''$  needs to contain all vertices of the induced subhypergraph  $H$ . However,  $\mathcal{H}''[V(H)] \not\rightarrow (K_t^{(3)})_2$  holds, as can be seen by considering a red-blue-edge-coloring chosen uniformly at random and showing that the expected number of monochromatic copies of  $K_t^{(3)}$  in  $\mathcal{H}''[V(H)]$  is less than 1.

Thus, any minimal 2-Ramsey subhypergraph  $\mathcal{H}''$  of  $\mathcal{H}'$  has to contain at least one further vertex  $x \notin V(H)$ . Then, since  $|V(H)| = (t-2)^2 + 2 \geq 6$ , it follows by Property (v) of Theorem 2.1 that there exists a vertex  $y \in V(H)$  such that  $0 = \deg_{\mathcal{H}'}(x, y) \geq \deg_{\mathcal{H}''}(x, y)$ , i.e.,  $s_{2,2}(K_t^{(3)}) = 0$ .  $\square$

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